

Policy Name	Conflict of Interest and Commitment
Policy Number	1200.019
Effective Date	May 23, 2017
Administrative Division	Division of Research and Federal Relations
Unit	Research Compliance
Revised Date	Click here to enter text.

1.0 Policy Statement

engage in research and to actively participate in external relationships and efforts that augment the mission of the University. However, participation in outside activities should in no way interfere with the faculty or ry obligation to act in the best interest of the University at all times. As part of this fundamental obligation, the University fully expects faculty and staff to apply their efforts appropriately and to use University resources toward University ends. These expectations are met through the establishment of standards that provide a realistic and practical expectation that the design, conduct, monitoring and reporting of research results, including research which is supported by grants, cooperative agreements and contracts, will not be impeded by bias resulting from researcher conflict of interest or conflict of commitment.

2.0 Purpose of Policy

ame, time, and resources are used in a way that results in inappropriate personal or mission, a conflict between individual and University interests may be created. The purpose of this policy is to define, and educate University administration, faculty and staff, on Conflicts of Interest and Conflicts of Commitment and on mechanisms for avoiding ±A situation that may occur when the external activities or relationships (paid or volunteer) of a member of the University faculty or staff interfere or compete (actually or

missions, or complete range or responsibilities associated with her or his University position.

- 3.3 Investigator the project director (PD) or principal Investigator (PI) and any other person, regardless of title or position, who is responsible for the design, conduct, or reporting of funded research, or proposed for such funding, which may include, for example, collaborators or consultants.
- 3.4 Financial Interest an interest in a business or the receipt or right to receive certain types of remuneration over stated thresholds.

- necessary to take on a substantial management role in an external organization, express permission must be granted by her or his department chair and dean; acceptance of such a role with an outside entity may be determined to require a leave of absence without pay.
- 4.7 Certain outside professional activities, such as submitting a proposal or serving as a director for a research or scholarly endeavor at another institution that could be conducted at Jackson State University, may generate conflicts of interest or commitment regardless of the time involved. Investigators employed by JSU, are generally prohibited from serving as principal investigators on sponsored projects that are submitted and managed through other universities, federal, non-profit, or corporate institutions. This condition does not apply to subcontracts awarded to JSU; this provision is not intended to limit the ability of investigators to participate in collaborative research or training programs with other entities, nor is it intended to limit the ability of investigators to access facilities that are not available at JSU.
- 4.8 Financial interests that are disclosed and are determined to be connected to one or more of the institutional responsibilities of the investigator will be evaluated per the JSU Financial Conflict of Interest Policy (cross reference FCOI here). If connection of the interest is confirmed to be conflicting, then the conflict will need to be eliminated or mitigated according to a plan developed in cooperation with and under the oversight of the dean of the college in collaboration with the Provost and Senior Vice President of Academic and Student Affairs. If the financial interest is not considered to result in significant and direct conflict of interest, other administrative actions, such as disclosure in proposals, publications, research presentations, etc., may be required.
- 4.9 School deans, in consultation with the Vice President for Research and Federal Relations, shall establish procedures and timeframes for appropriate review of potential or actual conflicts of interest or conflicts of commitment, both annually and at the time of initiation of new transactions, in order to eliminate, mitigate, or otherwise manage such conflicts.

5.0 Employee Adherence

Employees are required to adhere to these guidelines. Willful disregard of this policy shall be considered non-compliance and may result in a formal reprimend up to and including termination. The information